

U.S. Patent and Trademark Office, U.S. DEPARTMENT OF COMMERCE

REQUEST FOR CONTINUED EXAMINATION (RCE) TRANSMITTAL

Subsection (b) of 35 U.S.C. § 132, effective on May 29, 2000, provides for continued examination of an utility or plant application filed on or after June 8, 1995.

See The American Inventors Protection Act of 1999 (AIPA).

<i>Application Number</i>	09/513,117
<i>Filing Date</i>	February 25, 2000
<i>First Named Inventor</i>	KEISUKE YAMAMOTO ET AL
<i>Group Art Unit</i>	2879
<i>Examiner Name</i>	M. Hodges
<i>Attorney Docket Number</i>	03500.014302.

This is a Request for Continued Examination (RCE) under 37 C.F.R. § 1.114 of the above-identified application.

NOTE: 37 C.F.R. § 1.114 is effective on May 29, 2000. If the above-identified application was filed prior to May 29, 2000, applicant may wish to consider filing a continued prosecution application (CPA) under 37 C.F.R. § 1.53(d) (PTO/SB/29) instead of a RCE to be eligible for the patent term adjustment provisions of the AIPA. See *Changes to Application Examination and Provisional Application Practice*, Final Rule, 65 Fed. Reg. 50092 (Aug. 16, 2000); Interim Rule, 65 Fed. Reg. 14865 (Mar. 20, 2000), 1233 Off. Gaz. Pat. Office 47 (Apr. 11, 2000), which established RCE practice.

1.	Submission required under 37 C.F.R. § 1.114
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- a. ☐ Previously submitted
- i. ☐ Consider the amendment(s)/reply under 37 C.F.R. § 1.116 previously filed on _____
(Any unentered amendment(s) referred to above will be entered).
- ii. ☐ Consider the arguments in the Appeal Brief or Reply Brief previously filed on _____
- iii. ☐ Other _____
- b. ☒ Enclosed
- i. ☒ Amendment/Reply
- ii. ☐ Affidavit(s)/Declaration(s)
- iii. ☐ Information Disclosure Statement (IDS)
- iv. ☐ Other _____
- 05/13/2002 MGBREI
01 EC:179
02 FC:102
03 FC:103

2. **Miscellaneous**


- a. ☐ Suspension of action on the above-identified application is requested under 37 C.F.R. § 1.103(c) for a period of _____ months. (Period of suspension shall not exceed 3 months; Fee under 37 C.F.R. § 1.17(i) required)
- b. ☐ Other _____

3. Fees

The RCE fee under 37 C.F.R. § 1.17(e) is required by 37 C.F.R. § 1.114 when the RCE is filed.

- a. ☒ The Director is hereby authorized to charge any deficiencies in the following fees, or credit any overpayments, to
Deposit Account No. 06-1205
- i. ☒ RCE fee required under 37 C.F.R. § 1.17(e)
- ii. ☐ Extension of time fee (37 C.F.R. §§ 1.136 and 1.17)
- iii. ☐ Other _____
- b. ☒ Check in the amount of \$740.00 enclosed
- c. ☐ Payment by credit card (Form PTO-2038 enclosed)

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT REQUIRED

Name (Print/Type)	FRANK A. DELUCIA	Registration No. (Attorney/Agent)	42,476
Signature		Date	May 10, 2002

CERTIFICATE OF MAILING OR TRANSMISSION

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner For Patents, Box RCE, Washington, DC 20231, or facsimile transmitted to the U.S. Patent and Trademark Office on:

Name (Print/Type)			
Signature		Date	

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. **DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND Fees and Completed Forms to the following address: Commissioner for Patents, Box RCE, Washington, DC 20231.**
NY MAIN 251767v1

03/05



In re Application of:

KEISUKE YAMAMOTO ET AL.

Application No.: 09/513,117

Filed: February 25, 2000

For: ELECTRON- EMITTING DEVICE,
ELECTRON SOURCE USING THE
ELECTRON-EMITTING DEVICE,
AND IMAGE FORMING APPARATUS
USING THE ELECTRON SOURCE

Docket No. 03500.014302.

Examiner: M. Hodges

Group Art Unit: 2879

Date: May 10, 2002

THE COMMISSIONER FOR PATENTS
Box RCE
Washington, D.C. 20231

Sir:

Transmitted herewith is a Preliminary Amendment in the above-identified application.

☐ No additional fee is required.

The fee has been calculated as shown below

CLAIMS AS AMENDED						
	(2) CLAIMS REMAINING AFTER AMENDMENT		(4) HIGHEST NO. PREVIOUSLY PAID FOR	(5) PRESENT EXTRA	RATE	ADDITIONAL FEE
TOTAL CLAIMS	* 21	MINUS	** 20	= 1	x \$9 \$18	\$18.00
INDEP. CLAIMS	* 7	MINUS	*** 3	= 4	x \$42 \$84	\$336.00
Fee for Multiple Dependent claims \$140°/\$280						previously paid
TOTAL ADDITIONAL FEE FOR THIS AMENDMENT---						\$354.00

- * If the entry in Column 2 is less than the entry in Column 4, write "0" in Column 5.
** If the "Highest Number Previously Paid For" IN THIS SPACE is less than 20, write "20" in this space.
*** If the "Highest Number Previously Paid For" IN THIS SPACE is less than 3, write "3" in this space.

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Match & Return

☐ °Verified Statement claiming small entity status is enclosed, if not filed previously.

☒ A check in the amount of \$ 354.00 is enclosed.

☐ Charge \$ _____ to Deposit Account No. 06-1205. A duplicate copy of this sheet is enclosed.

☒ Any prior general authorization to charge an issue fee under 37 C.F.R. 1.18 to Deposit Account No. 06-1205 is hereby revoked. The Commissioner is hereby authorized to charge any additional fees under 37 C.F.R. 1.16 and 1.17 which may be required during the entire pendency of this application, or to credit any overpayment, to Deposit Account No. 06-1205. A duplicate copy of this paper is enclosed.

☐ A check in the amount of \$ _____ to cover the fee for a _____ month extension is enclosed.

☐ A check in the amount of \$ _____ to cover the Information Disclosure Statement fee is enclosed.

☒ Applicants' undersigned attorney may be reached in our New York office by telephone at (212) 218-2100. All correspondence should continue to be directed to our address given below.

Respectfully submitted,

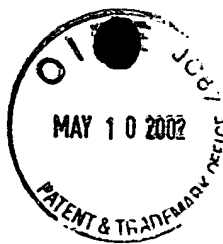


Attorney for Applicants

Registration No. 24613

FITZPATRICK, CELLA, HARPER & SCINTO
30 Rockefeller Plaza
New York, New York 10112-3801
Facsimile: (212) 218-2200

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NY_MAIN251920v1



03500.014302.

PATENT APPLICATION

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:)	
	:	Examiner: M. Hodges
KEISUKE YAMAMOTO ET AL.)	
	:	Group Art Unit: 2879
Appln. No.: 09/513,117)	
	:	
Filed: February 25, 2000)	
	:	
For: ELECTRON-EMITTING DEVICE,)	
ELECTRON SOURCE USING THE	:	
ELECTRON-EMITTING DEVICE,)	
AND IMAGE-FORMING APPARATUS :	:	
USING THE ELECTRON SOURCE)	May 10, 2002

Commissioner for Patents
Box RCE
Washington, D.C. 20231

THIRD REQUEST FOR CORRECTED FILING RECEIPT

Sir:

Applicants' attorneys have received a corrected Filing Receipt in the above-identified application in which the inventors' data is still incorrect. Specifically, the surname of the first-named inventor was omitted by the PTO, and the address of the second-named inventor was misspelled.

The data under "Applicant(s)" should read:

--KEISUKE YAMAMOTO, YAMATO-SHI, JAPAN;

MIKI TAMURA, ISEHARA-SHI, JAPAN;

YASUHIRO HAMAMOTO, YOKOHAMA-SHI, JAPAN--.

Issuance of a corrected Filing Receipt, corrected as shown above, is accordingly respectfully requested.

Applicants' undersigned attorney may be reached in our New York office by telephone at (212) 218-2100. All correspondence should continue to be directed to our address given below.

Respectfully submitted,



Attorney for Applicants

Registration No. 28,613

FITZPATRICK, CELLA, HARPER & SCINTO
30 Rockefeller Plaza
New York, New York 10112-3801
Facsimile: (212) 218-2200

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